

Introduction to the large charge expansion

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INFN | Torino

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arXiv:1505.01537, arXiv:1610.04495, arXiv:1707.00711, arXiv:1804.01535,
arXiv:1902.09542, arXiv:1905.00026, arXiv:1909.02571, arXiv:1909.08642
and more to come...



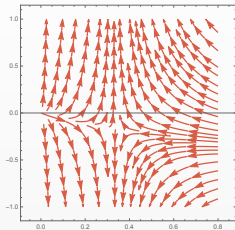
Who's who



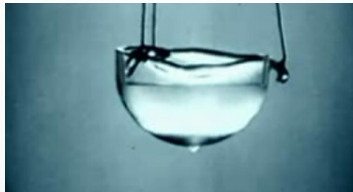
S. Reffert (AEC Bern);
L. Alvarez Gaumé (CERN and SCGP);
F. Sannino (CP3-Origins);
D. Banerjee (DESY);
S. Chandrasekharan (Duke);
S. Hellerman (IPMU);
M. Watanabe (Weizmann).

Why are we here? Conformal field theories

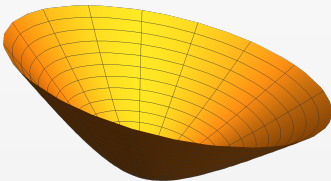
extrema of the RG flow



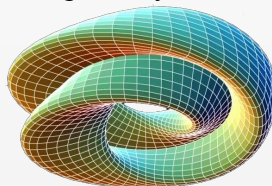
critical phenomena



quantum gravity



string theory



Why are we here? Conformal field theories are hard

Most conformal field theories (CFTs) lack nice limits where they become simple and solvable.

No parameter of the theory can be dialed to a simplifying limit.



Why are we here? Conformal field theories are hard

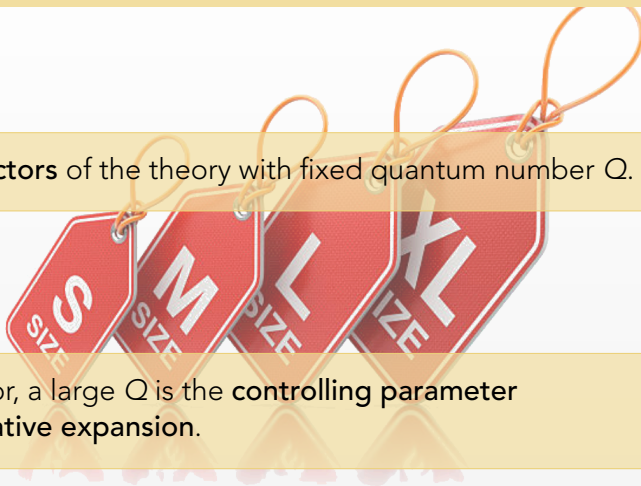
In presence of a **symmetry** there can be **sectors of the theory** where anomalous dimension and OPE coefficients simplify.



The idea

Study **subsectors** of the theory with fixed quantum number Q .

In each sector, a large Q is the **controlling parameter** in a **perturbative expansion**.



no bootstrap here!



This approach is **orthogonal to bootstrap**.

We will use an effective action.
We will access sectors that are difficult to reach with bootstrap.
(However, [arXiv:1710.11161](https://arxiv.org/abs/1710.11161)).



Concrete results

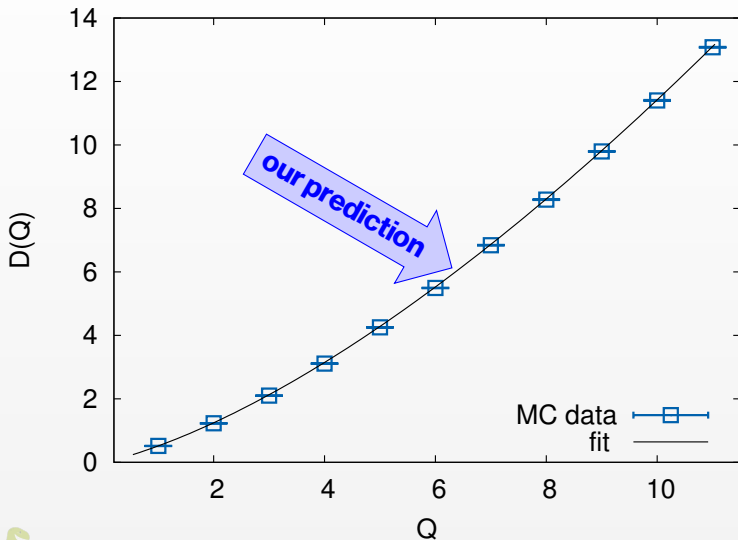
We consider the $O(N)$ vector model in three dimensions. In the IR it flows to a **conformal fixed point** Wilson & Fisher.

We find an explicit formula for the **dimension of the lowest primary at fixed charge**:

$$\Delta_Q = \frac{c_{3/2}}{2\sqrt{\pi}} Q^{3/2} + 2\sqrt{\pi} c_{1/2} Q^{1/2} - 0.094 + \mathcal{O}(Q^{-1/2})$$



Summary of the results: $O(2)$



Scales

We want to write a **Wilsonian effective action**.



Choose a cutoff Λ , separate the fields into high and low frequency ϕ_H, ϕ_L and do the path integral over the high-frequency part:

$$e^{iS_\Lambda(\phi_L)} = \int \mathcal{D}\phi_H e^{iS(\phi_H, \phi_L)}$$

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too hard

Scales

- We look at a finite box of typical length R
- The $U(1)$ charge Q fixes a **second scale** $\rho^{1/2} \sim Q^{1/2}/R$



$$\frac{1}{R} \ll \Lambda \ll \rho^{1/2} \sim \frac{Q^{1/2}}{R} \ll \Lambda_{UV}$$



For $\Lambda \ll \rho^{1/2}$ the **effective action is weakly coupled and under perturbative control** in powers of ρ^{-1} .

Wilsonian action

The Wilsonian action is fundamentally useless because it contains infinite terms.



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At best:

- a cute qualitative picture;
- might allow you to get the anomalies right;
- something that helps you organize perturbative calculations, if your system is already weakly-coupled for some reason;
- *maybe* a convergent expansion in derivatives.



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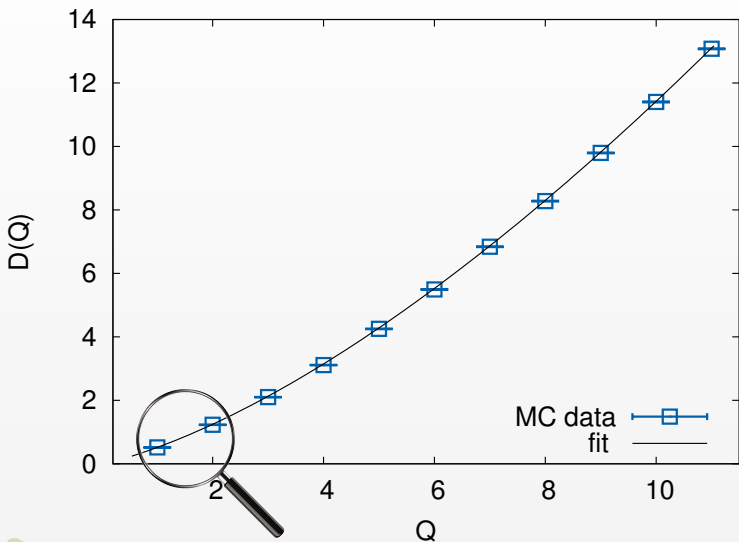
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superstition



Too good to be true?

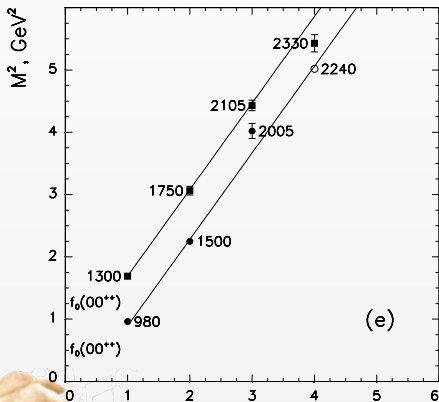


Too good to be true?

Think of **Regge trajectories**.
The prediction of the theory is

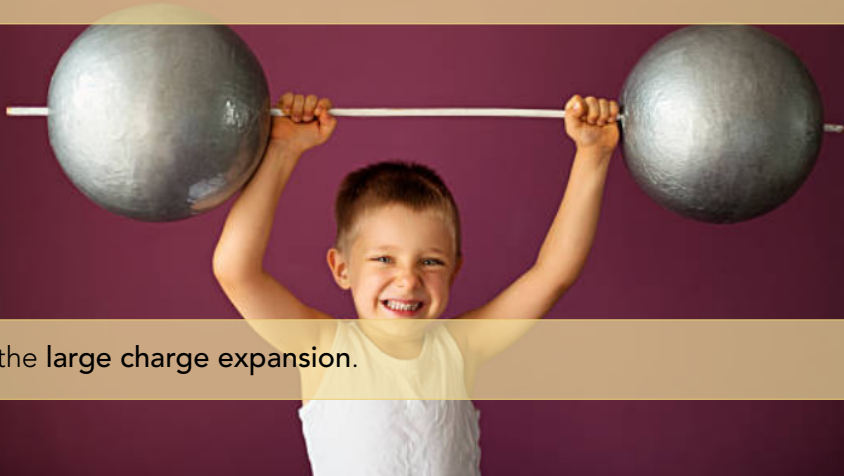
$$m^2 \propto J(1 + \mathcal{O}(J^{-1}))$$

but *experimentally* everything works so well at small J that String Theory was invented.



Too good to be true?

The unreasonable effectiveness



of the large charge expansion.

Today's talk

The EFT for the $O(2)$ model in $2 + 1$ dimensions



Today's talk

The EFT for the $O(2)$ model in $2 + 1$ dimensions

- An effective field theory (EFT) for a CFT.
- The physics at the saddle.
- State/operator correspondence for anomalous dimensions.



Today's talk

The EFT for the $O(2)$ model in $2 + 1$ dimensions

Justify and prove all my claims from first principles

- well-defined asymptotic expansion (in the technical sense)
- justify why the expansion works at small charge
- compute the coefficients in the effective action in large- N



Today's talk

The EFT for the $O(2)$ model in $2 + 1$ dimensions

Justify and prove all my claims from first principles

Use the large-charge expansion together with supersymmetry.

- qualitatively different behavior
- compute three-point functions
- resum the large-charge expansion
- see explicitly the next saddle in the partition function

P A R E N T A L

A D V I S O R Y

E X P L I C I T C O N T E N T



An EFT for a CFT



The $O(2)$ model

The simplest example is the Wilson–Fisher (WF) point of the $O(2)$ model in three dimensions.

- Non-trivial fixed point of the ϕ^4 action

$$L_{UV} = \partial_\mu \phi^* \partial_\mu \phi - u(\phi^* \phi)^2$$

- Strongly coupled
- In nature: ${}^4\text{He}$.
- Simplest example of spontaneous symmetry breaking.
- **Not accessible** in perturbation theory. **Not accessible** in $4 - \epsilon$.
Not accessible in large N .
- Lattice. Bootstrap.



Charge fixing

We assume that the $O(2)$ symmetry is not accidental.

We consider a **subsector of fixed charge Q** .

Generically, the classical solution at fixed charge **breaks spontaneously** $U(1) \rightarrow \emptyset$.

We have one **Goldstone boson χ** .



An action for χ

Start with two derivatives:

$$L[\chi] = \frac{f_\pi}{2} \partial_\mu \chi \partial_\mu \chi - C^3$$

(χ is a Goldstone so it is dimensionless.)



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Start with two derivatives:

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We want to describe a CFT: we can **dress with a dilaton**

$$L[\sigma, \chi] = \frac{f_\pi e^{-2f\sigma}}{2} \partial_\mu \chi \partial_\mu \chi - e^{-6f\sigma} C^3 + \frac{e^{-2f\sigma}}{2} \left(\partial_\mu \sigma \partial_\mu \sigma - \frac{\xi R}{f^2} \right)$$

The fluctuations of χ give the Goldstone for the broken $U(1)$, the fluctuations of σ give the (massive) Goldstone for the broken conformal invariance.



Linear sigma model

We can put together the two fields as

$$\Sigma = \sigma + if_\pi \chi$$

and rewrite the action in terms of a complex scalar

$$\varphi = \frac{1}{\sqrt{2f}} e^{-f\Sigma}$$

We get

$$L[\varphi] = \partial_\mu \varphi^* \partial^\mu \varphi - \xi R \varphi^* \varphi - u(\varphi^* \varphi)^3$$

Only depends on dimensionless quantities $b = f^2 f_\pi$ and $u = 3(Cf^2)^3$.
Scale invariance is manifest.

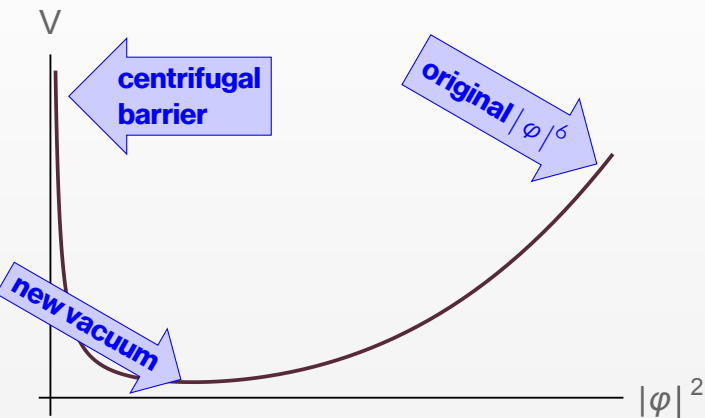
The field φ is some complicated function of the original ϕ .



Centrifugal barrier

The $O(2)$ symmetry acts as a shift on χ .

Fixing the charge is the same as adding a centrifugal term $\propto \frac{1}{|\varphi|^2}$.



Ground state

We can find a fixed-charge solution of the type

$$\chi(t, x) = \mu t \qquad \sigma(t, x) = \frac{1}{f} \log(v) = \text{const.},$$

where

$$\mu \propto Q^{1/2} + \dots \qquad v \propto \frac{1}{Q^{1/2}}$$

The classical energy is

$$E = c_{3/2} V Q^{3/2} + c_{1/2} R V Q^{1/2} + \mathcal{O}(Q^{-1/2})$$



Fluctuations

The fluctuations over this ground state are described by two modes.

- A universal “**conformal Goldstone**”. It comes from the breaking of the $U(1)$.

$$\omega = \frac{1}{\sqrt{2}}p$$

- The **massive dilaton**. It controls the magnitude of the quantum fluctuations. **All quantum effects are controlled by $1/Q$** .

$$\omega = 2\mu + \frac{p^2}{2\mu}$$

(This is a heavy fluctuation around the semiclassical state. It has nothing to do with a light dilaton in the full theory)



Non-linear sigma model

Since σ is heavy we can integrate it out and write a non-linear sigma model (NLSM) for χ alone.

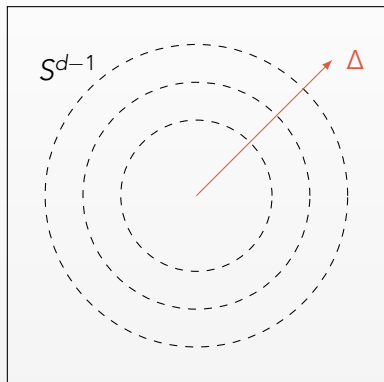
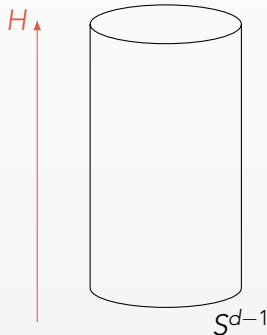
$$L[\chi] = k_{3/2}(\partial_\mu \chi \partial^\mu \chi)^{3/2} + k_{1/2}R(\partial_\mu \chi \partial^\mu \chi)^{1/2} + \dots$$

These are the leading terms in the expansion around the classical solution $\chi = \mu t$. All other terms are suppressed by powers of $1/Q$.



State-operator correspondence

The anomalous dimension on \mathbb{R}^d is the energy in the cylinder frame.

 \mathbb{R}^d

 $\mathbb{R} \times S^{d-1}$


Protected by conformal invariance: a well-defined quantity.



Conformal dimensions

We know the energy of the ground state.

The leading quantum effect is the **Casimir energy of the conformal Goldstone**.

$$E_G = \frac{1}{2\sqrt{2}} \zeta\left(-\frac{1}{2} | S^2\right) = -0.0937 \dots$$

This is the unique contribution of order Q^0 .

Final result: the **conformal dimension of the lowest operator of charge Q** in the $O(2)$ model has the form

$$\Delta_Q = \frac{c_{3/2}}{2\sqrt{\pi}} Q^{3/2} + 2\sqrt{\pi} c_{1/2} Q^{1/2} - 0.094 + \mathcal{O}\left(Q^{-1/2}\right)$$



The $O(2N)$ model

Next step: $O(2N)$.

N charges can be fixed.

Again, **homogeneous ground state**.

The ground-state energy only depends on the **sum of the charges**

$$Q = Q_1 + \dots + Q_N$$

and takes the same form

$$E = \frac{c_{3/2}(N)}{2\sqrt{\pi}} Q^{3/2} + 2\sqrt{\pi} c_{1/2}(N) Q^{1/2} + \mathcal{O}(Q^{-1/2})$$

The coefficients depend on N and cannot be computed in the EFT (but e.g. in large- N).



Fluctuations

The symmetry breaking pattern is

$$O(2N) \xrightarrow{\text{exp.}} U(N) \xrightarrow{\text{spont.}} U(N-1)$$

and there are $\dim(U(N)/U(N-1)) = 2N - 1$ degrees of freedom (DOF).

- One singlet, the universal conformal Goldstone $\omega = \frac{1}{\sqrt{2}}p$
- One vector of $U(N-1)$, with **quadratic dispersion** $\omega = \frac{p^2}{2\mu}$

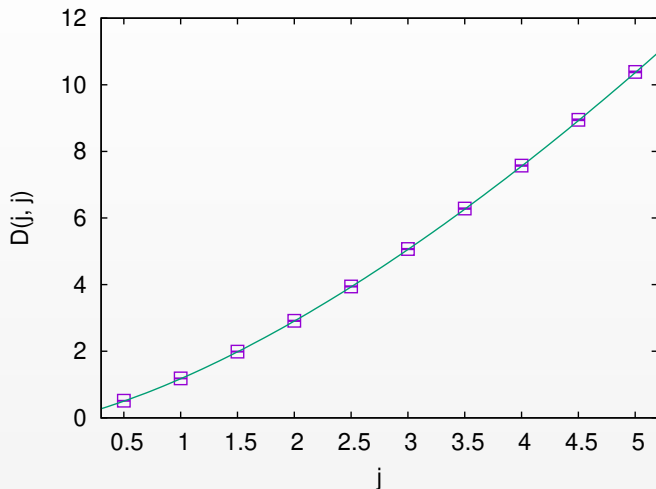
Each **type-II Goldstone** counts for two DOF:

$$1 + 2 \times (N - 1) = 2N - 1.$$

Only the type-I has a Q^0 contribution: **it is universal**.



$O(4)$ on the lattice



$$\Delta_j = \frac{c_{3/2}}{2\sqrt{\pi}} (2j)^{3/2} + 2\sqrt{\pi} c_{1/2} (2j)^{1/2} - 0.094 + \mathcal{O}(j^{-1/2})$$



What happened?

We started from a CFT.

There is no mass gap, there are **no particles**, there is **no Lagrangian**.

We picked a sector.

In this sector the physics is described by a **semiclassical configuration** plus massless fluctuations.

The full theory has no small parameters but we can study this sector with a **simple EFT**.

We are in a **strongly coupled** regime but we can compute physical observables using **perturbation theory**.



Large N vs. Large Charge



The model

ϕ^4 model on $\mathbb{R} \times \Sigma$ for N complex fields

$$S_\theta[\varphi_i] = \sum_{i=1}^N \int dt d\Sigma \left[g^{\mu\nu} (\partial_\mu \varphi_i)^* (\partial_\nu \varphi_i) + r \varphi_i^* \varphi_i + \frac{u}{2} (\varphi_i^* \varphi_i)^2 \right]$$

It flows to the WF in the IR limit $u \rightarrow \infty$ when r is fine-tuned.

We compute the partition function at fixed charge

$$Z(Q_1, \dots, Q_N) = \text{Tr} \left[e^{-\beta H} \prod_{i=1}^N \delta(\hat{Q}_i - Q_i) \right]$$

where

$$\hat{Q}_i = \int d\Sigma j_i^0 = i \int d\Sigma [\dot{\varphi}_i^* \varphi_i - \varphi_i^* \dot{\varphi}_i].$$

Dimensions of operators of fixed charge Q on \mathbb{R}^3 (state/operator):

$$\Delta(Q) = -\frac{1}{\beta} \log Z_{S^2}(Q).$$



Fix the charge

Explicitly

$$Z = \int_{-\pi}^{\pi} \prod_{i=1}^N \frac{d\theta_i}{2\pi} \prod_{i=1}^N e^{i\theta_i Q_i} \text{Tr} \left[e^{-\beta H} \prod_{i=1}^N e^{-i\theta_i \hat{Q}_i} \right].$$

Since \hat{Q} depends on the momenta, the integration is not trivial but well understood.

$$\begin{aligned} Z_{\Sigma}(Q) &= \int_{-\pi}^{\pi} \frac{d\theta}{2\pi} e^{-i\theta Q} \int_{\varphi(2\pi\beta)=e^{i\theta}\varphi(0)} D\varphi_i e^{-S[\varphi]} \\ &= \int_{-\pi}^{\pi} \frac{d\theta}{2\pi} e^{-i\theta Q} \int_{\varphi(2\pi\beta)=\varphi(0)} D\varphi_i e^{-S^{\theta}[\varphi]} \end{aligned}$$



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boundary condition



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covariant derivative



Effective actions

The covariant derivative approach:

$$S^\theta[\varphi] = \sum_{i=1}^N \int dt d\Sigma \left((D_\mu \varphi_i)^* (D^\mu \varphi_i) + \frac{R}{8} \varphi_i^* \varphi_i + 2u(\varphi_i^* \varphi_i)^2 \right)$$

where

$$\begin{cases} D_0 \varphi = \partial_0 \varphi + i \frac{\theta}{\beta} \varphi \\ D_i \varphi = \partial_i \varphi \end{cases}$$

Stratonovich transformation: introduce Lagrange multiplier λ and rewrite the action as

$$S_Q = \sum_{i=1}^N \left[-i\theta_i Q_i + \int dt d\Sigma \left[(D_\mu^i \varphi_i)^* (D_\mu^i \varphi_i) + (r + \lambda) \varphi_i^* \varphi_i \right] \right]$$

Expand around the VEV

$$\varphi_i = \frac{1}{\sqrt{2}} A_i + u_i, \quad \lambda = (m^2 - r) + \hat{\lambda} \quad (1)$$

Effective action for $\hat{\lambda}$

We can now integrate out the u_i and get an effective action for $\hat{\lambda}$ alone

$$S_{\theta}[\hat{\lambda}] = \sum_{i=1}^N \left[V\beta \left(\frac{\theta_i^2}{\beta^2} + m^2 \right) \frac{A_i^2}{2} + \text{Tr} \left[\log \left(-D_{\mu}^i D_{\mu}^i + m^2 + \hat{\lambda} \right) \right] - \frac{A_i^2}{2} \text{Tr}(\hat{\lambda} \Delta \hat{\lambda}) \right].$$

This is a non-local action for $\hat{\lambda}$, that can be expanded order-by-order in $1/N$. Today we will only look at the leading order (saddle point).



Saddle point equations

$$\begin{cases} \frac{\partial S_Q}{\partial m^2} = \sum_{i=1}^N \left[\frac{V\beta}{2} A_i^2 + \zeta(1 | \theta_i, \Sigma, m) \right] = 0, \\ \frac{\partial S_Q}{\partial \theta_i} = -iQ + \frac{\theta_i}{\beta} V A_i^2 + \frac{1}{s} \frac{\partial}{\partial \theta_i} \zeta(s | \theta_i, \Sigma, m) \Big|_{s=0} = 0 \\ \frac{\partial S_Q}{\partial A_i} = V\beta \left(\frac{\theta_i^2}{\beta^2} + m^2 \right) A_i = 0. \end{cases}$$

where

$$\zeta(s | \theta, \Sigma, m) = \sum_{n \in \mathbb{Z}} \sum_p \left(\left(\frac{2\pi n}{\beta} + \frac{\theta}{\beta} \right)^2 + E(p)^2 + m^2 \right)^{-s}.$$



Saddle point equations

With some massaging, we find the final equations

$$\begin{cases} F_{\Sigma}^{\text{grid}}(Q) = mQ + N\zeta\left(-\frac{1}{2}|\Sigma, m\right), \\ m\zeta\left(\frac{1}{2}|\Sigma, m\right) = -\frac{Q}{N}. \end{cases}$$

The control parameter is actually Q/N .



Small Q/N

The zeta function can be expanded perturbatively in small Q/N .
Result:

$$\frac{\Delta(Q)}{Q} = \frac{1}{2} + \frac{4}{\pi^2} \frac{Q}{N} + \frac{16(\pi^2 - 12)}{3\pi^4 N^2} Q^2 + \dots$$

- Expansion of a closed expression
- Start with the engineering dimension $1/2$
- Reproduce an infinite number of diagrams from a fixed-charge one-loop calculation



Large Q/N

If $Q/N \gg 1$ we can use Weyl's asymptotic expansion.

$$\text{Tr}(e^{\Delta_{\Sigma} t}) = \sum_{n=0}^{\infty} K_n t^{n/2-1}.$$

The zeta function is written in terms of the geometry of Σ (heat kernel coefficients)

$$m_{\Sigma} = \sqrt{\frac{4\pi}{V}} \left(\frac{Q}{2N}\right)^{1/2} + \frac{R}{24} \sqrt{\frac{V}{4\pi}} \left(\frac{Q}{2N}\right)^{-1/2} + \dots$$

$$\frac{F_{\Sigma}}{2N} = \frac{2}{3} \sqrt{\frac{4\pi}{V}} \left(\frac{Q}{2N}\right)^{3/2} + \frac{R}{12} \sqrt{\frac{V}{4\pi}} \left(\frac{Q}{2N}\right)^{1/2} + \dots$$



Order N

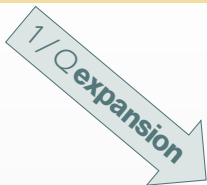
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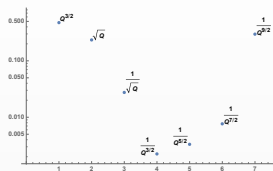
Order N

EFT coefficients

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Order N 

asymptotic expansion

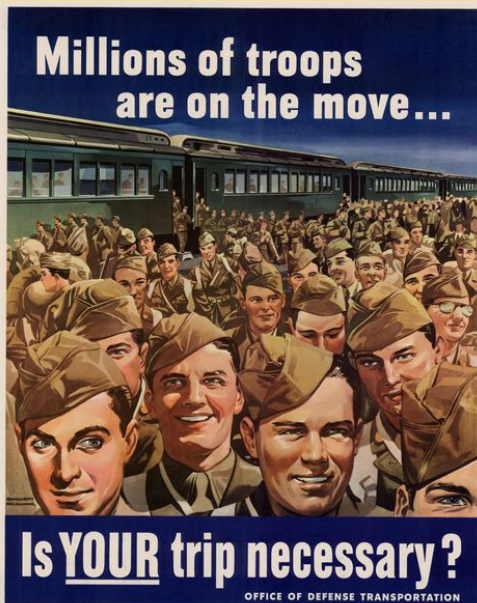
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 \end{aligned}$$



Universal term: integrate all but one



Was it worth it?



Final result

$$\Delta(Q) = \left(\frac{4N}{3} + \mathcal{O}(1)\right) \left(\frac{Q}{2N}\right)^{3/2} + \left(\frac{N}{3} + \mathcal{O}(1)\right) \left(\frac{Q}{2N}\right)^{1/2} + \dots$$

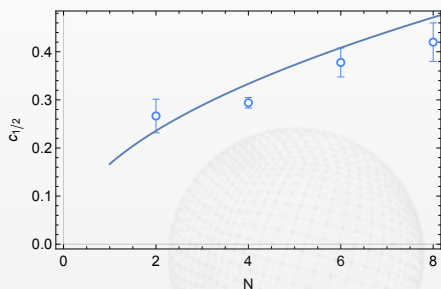
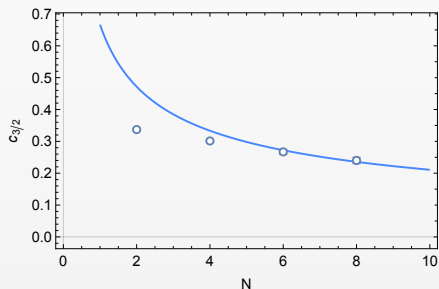
- 0.0937 ...



Final result

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- 0.0937 ...



Large charge and supersymmetry



And Now for Something Completely Different

All the models that you have seen have something in common: isolated vacuum. No moduli space.

What happens when there is a flat direction?

Many known examples of (non-Lagrangian) $\mathcal{N} \geq 2$ SCFT in four dimensions.

Coulomb branch with a dimension-one moduli space: all the physics is encoded in a single operator Φ and every chiral operator is just Φ^n .

We will write an effective action for Φ .



Effective action

We have a single vector multiplet. The kinetic term is just

$$L_k = \int d^4\theta \Phi^2 + \text{c.c.} = |\partial\phi|^2 + \text{fermions} + \text{gauge fields}$$



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The coefficient α fixes the a -anomaly of the EFT. It has to match the anomaly in the UV.

Claim: at large R-charge this action is all you need for any $\mathcal{N} = 2$ theory (with one-dimensional moduli space).



Observables

Three-point function of the Coulomb branch operators

$$\left\langle \Phi^{n_1}(x_1) \Phi^{n_2}(x_2) \bar{\Phi}^{n_1+n_2}(x_3) \right\rangle = \frac{C^{n_1, n_2, n_1+n_2}}{|x_1 - x_3|^{2n_1 D} |x_2 - x_3|^{2n_2 D}}$$

The OPE of Φ with itself is regular, so we can set $x_2 = x_1$ and the three-point function is actually a two-point function.

$$C^{n', n-n', n} = |x_1 - x_2|^{2nD} \left\langle \Phi^n(x_1) \bar{\Phi}^n(x_2) \right\rangle = e^{q_n - q_0}$$

$Q = nD$ is the controlling parameter (it's the R-charge)



Two-point function

$$\langle \Phi^n(x_1) \bar{\Phi}^n(x_2) \rangle = \int D\phi \phi^n(x_1) \bar{\phi}^n(x_2) e^{-S_k}$$

We can just pull the sources in the action and minimize

$$S_k + S_{\text{sources}} \propto k_0 + \int d^4x \left[\partial_\mu \phi \partial_\mu \bar{\phi} - Q \log \phi \delta(x - x_1) - Q \log \bar{\phi} \delta(x - x_2) \right]$$

At the minimum:

$$S = k_0 + k_1 Q - Q \log Q + 2Q \log |x_1 - x_2| + \mathcal{O}(Q^0)$$

so

$$q_n = k_0 + k_1 Q + \left(Q + \frac{1}{2}\right) \log(Q) + \mathcal{O}(Q^0)$$



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Two-point function: tree level

Adding the WZ term gives another contribution

$$q_n = k_1 Q + k_0 + Q \log(Q) + \left(\alpha + \frac{1}{2}\right) \log(Q) + \mathcal{O}(Q^0)$$

This is the tree-level result.

Corrections from **quantum fluctuations** in the path integral.

No other tree-level terms.



Two-point function: quantum corrections

$1/Q$ is the **loop-counting parameter** because we are expanding around a vacuum expectation value (VEV) that depends on Q .

Sum of a ground state piece and a series in $1/Q$.

$$q_n = k_0 + k_1 Q + Q \log(Q) + \left(\alpha + \frac{1}{2}\right) \log(Q) + \sum_{m=1}^{\infty} \frac{k_m(\alpha)}{Q^m}$$



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interactions from WZ



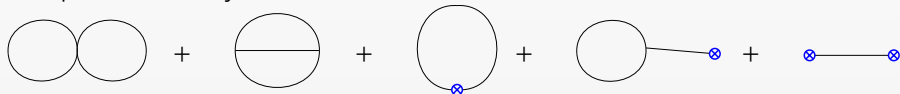
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Compute order-by-order



$$k_1(\alpha) = \frac{1}{2} \left(\alpha^2 + \alpha + \frac{1}{6} \right)$$



Supersymmetry to the rescue

There is a better way.

The q_n satisfy a **Toda lattice equation** [arXiv:0910.4963](https://arxiv.org/abs/0910.4963)

$$\partial_\tau \partial_{\bar{\tau}} q_n = e^{q_{n+1} - q_n} - e^{q_n - q_{n-1}}$$

This is integrable, but it's hard to find explicit solutions.

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$$+ \left(\alpha + \frac{1}{2}\right) \log(Q) + \sum_{m=1}^{\infty} \frac{k_m(\alpha)}{Q^m}$$



Recursion relation

We can actually solve the recursion relation, using the value of $k_1(\alpha)$ found at one loop.

$$q_n = k_0(\tau, \bar{\tau}) + Qf(\tau, \bar{\tau}) + \log(\Gamma(2n + \alpha + 1))$$

The log term is **universal**, only depends on α .

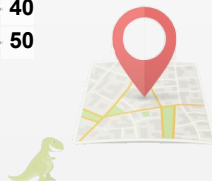
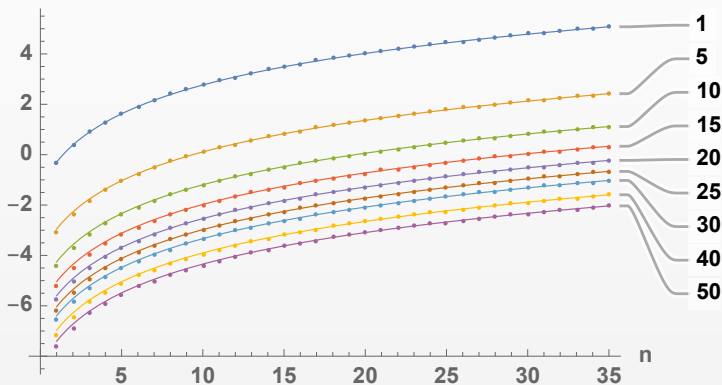
We have **completely resummed** the $1/Q$ expansion.



Comparison with localization

How well does this work?

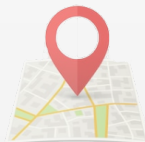
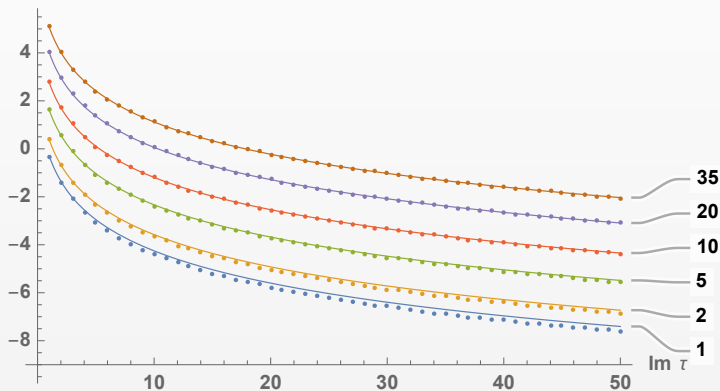
For the special case of $SU(2)$ SQCD with $N_f = 4$ we can compare with localization. [arXiv:1602.05971](https://arxiv.org/abs/1602.05971)



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A semi-empirical instanton



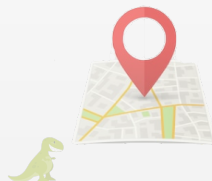
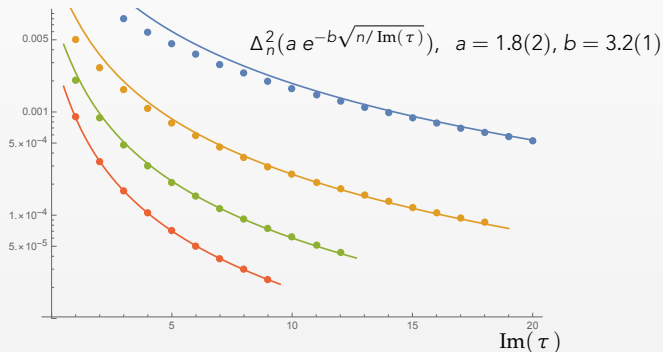
A semi-empirical instanton

We can do better.

We have resummed the $1/Q$ expansion around one vacuum.

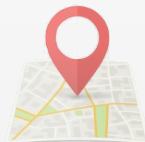
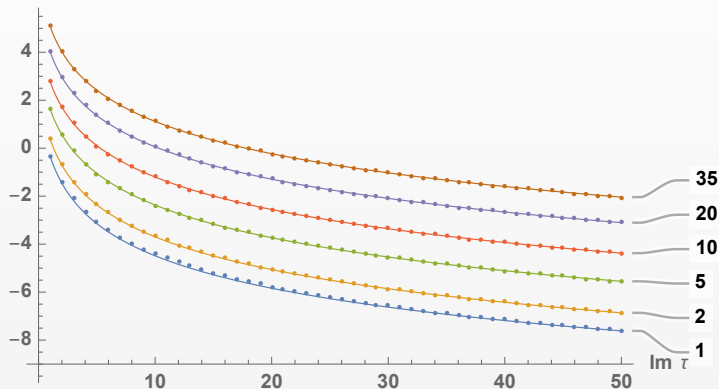
Exponential corrections coming from the next saddle in the path integral.

$$\Delta_n^2(q_n^{\text{loc}} - q_n^{\text{EFT}})$$



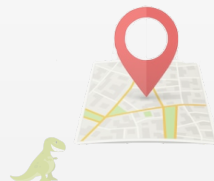
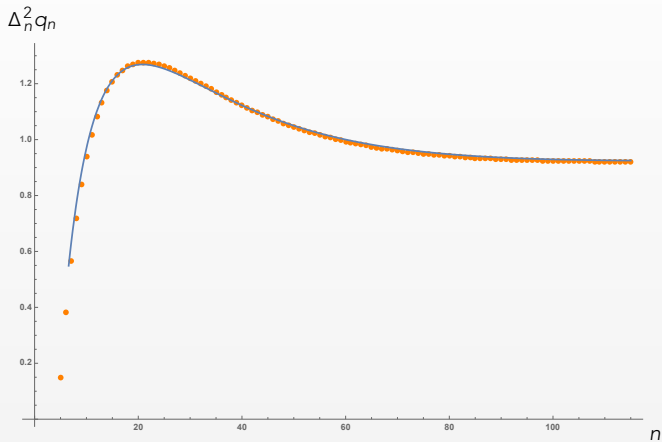
Comparison with localization

Once we add the first exponential correction



Comparison with localization

Once we add the first exponential correction (fixed $\tau = \delta$)



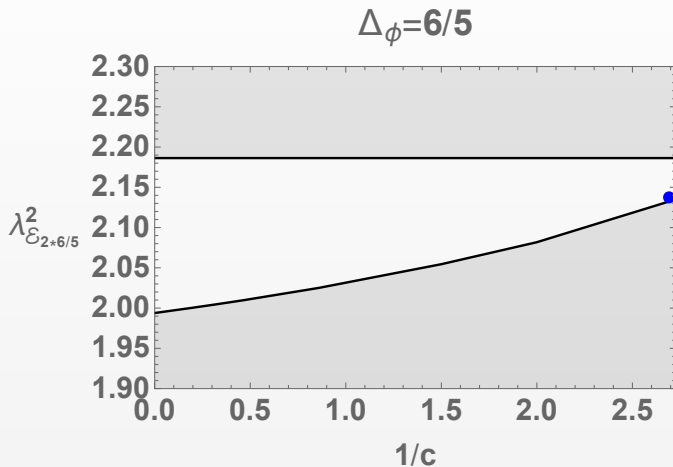
Comparison with bootstrap

For strongly coupled theories one can use bootstrap to place bounds on the three-point coefficients with $n = 1$.

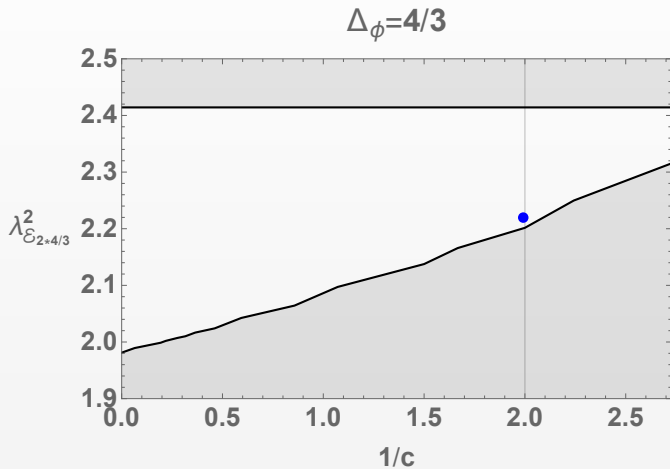
This is the worst possible situation for us. And still...



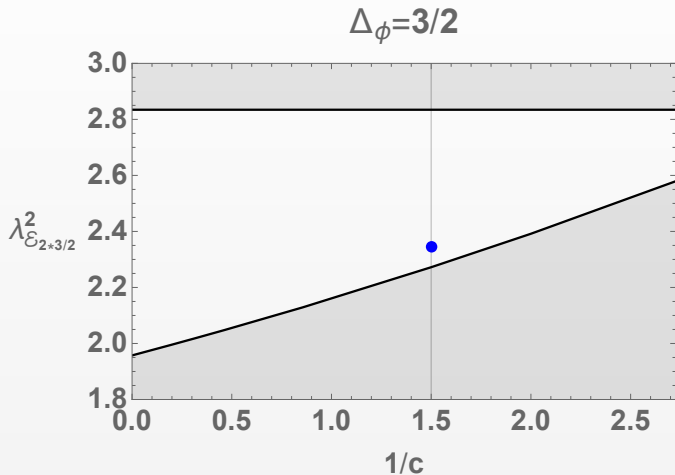
Comparison with bootstrap



Comparison with bootstrap



Comparison with bootstrap



In conclusion

- With the large-charge approach we can study **strongly-coupled systems perturbatively**.
- Select a sector and we write a **controllable effective theory**.
- The strongly-coupled physics is (for the most part) subsumed in a **semiclassical state**.
- Compute the CFT data.
- Very good agreement with **lattice** (supersymmetry, large N).
- Precise and **testable predictions**.

